

PTO/SB/21 (02-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/079,281	
	Filing Date	February 20, 2002	
	First Named Inventor	Patrick J. WELCH	
	Art Unit	2635	
	Examiner Name	Daryl C. POPE	
Total Number of Pages in This Submission	161	Attorney Docket Number	20916-0005-U1

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Letter re Resubmission of IDS (2 pages); Return Receipt Postcard; Certificate of Mailing
<div>Remarks</div> <div>RECEIVED APR 26 2005 Technology Center 2600</div>		

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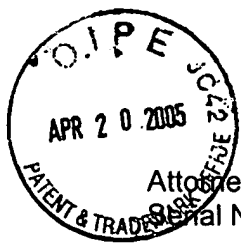
Firm or Individual name	McNees Wallace & Nurick LLC Kurt L. Ehresman, Attorney Reg. No. 50,758
Signature	
Date	April 18, 2005

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Typed or printed name	Kimberly A. Newell		
Signature		Date	April 18, 2005

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Attorney Docket No. 20916-0005-U1
Serial No. 10/079,281

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick J. WELCH :
Serial No.: 10/079,281 : Group Art Unit: 2635
Filed: February 20, 2002 : Examiner: Daryl C. POPE

For: SYSTEM AND METHOD FOR REMOTE MONITORING AND MAINTENANCE
MANAGEMENT OF VERTICAL TRANSPORTATION EQUIPMENT

LETTER RE RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT
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Sir:

After a review of the PAIR, it appears the Information Disclosure statement filed August 28, 2003 has not been docketed. A copy of the August 28, 2003 Information Disclosure Statement, including the stamped post card returned by the USPTO acknowledging receipt thereof, is enclosed herewith. Also enclosed is a copy of the Deposit Account Statement for September 2003 for Deposit Account No. 50-1059 which indicates the \$180 IDS fee for Attorney Docket No. 20916-0005 was withdrawn from our Deposit Account on September 4, 2003.

Please docket the Information Disclosure Statement accordingly. If the Examiner believes that prosecution of this Application could be expedited by a telephone conference, the Examiner is encouraged to contact the Applicant.

Attorney Docket No. 20916-0005-1
Serial No. 10/079,281

While no fees should be required, the Commissioner is hereby authorized to charge any additional fees and credit any overpayments to Deposit Account No. 50-1059.

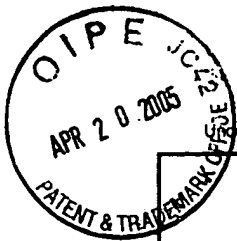
Respectfully Submitted,

McNEES WALLACE & NURICK LLC



Dated: April 18, 2005

Kurt L. Ehresman
Reg. No. 50,758
100 Pine Street
P.O. Box 1166
Harrisburg, PA 17108-1166
Tel.: (717) 237-5458
Fax: (717) 237-5300
Attorney for Applicant



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Signature

Kimberly A. Newell

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Form

Letter re Resubmission of IDS (2 pages)

Copy of IDS as originally filed August 28, 2003 and received September 2, 2003 (including copy of references)

Return Receipt Postcard

Attorney Docket No.: 20916-0005-U1

Application No.: 10/079,281

Filed: February 20, 2002

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Requested Statement Month: September 2003
Deposit Account Number: 501059
Name: MCNEES WALLACE & NURICK
Attention: CARMEN SANTA MARIA, ESQ.
Address: 100 PINE STREET
City: HARRISBURG
State: PA
Zip: 17108-1166
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
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SUM OF
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END
BALANCE
\$2,292.87

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Application/Patent No. 10/079,281

MWN Reference No.: 20916-0005-1

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☐ Patent Appl'n. Transmittal (Utility/Provisional/Design) ☒ Transmittal Form
☐ Pages Specifications ☐ Response to Office Action

☐ Pages Drawing(s) ☐ Response to Missing Parts

☐ Application Data Sheet ☐ Affidavit/Statement

☒ Fee Transmittal ☐ Issue Fee Transmittal

☒ Filing Fee (\$ 180) ☐ Issue Fee (\$ _____)

☐ _____ Fee (\$ _____) ☐ Letter to Off. Draftsperson

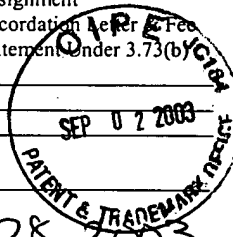
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☒ IDS & PTO/SB/08 ☐ Recordation Accep Fee

☒ References (copies) foreign (4) ☐ Statement Under 3.73(b) YES

☒ Certificate of Express First

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Express Mail No.: _____

Date of Mailing: August 28, 2003

Submitted by: Kurt L. Ehresman
McNees Wallace & Nurick LLC

Application/Patent No.: 10/079,281

MWN Reference No.: 20916-0005-1

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<input type="checkbox"/> Declaration (Signed/Unsigned)		<input type="checkbox"/> Power of Attorney	
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<input type="checkbox"/> Other Fee(s) (\$ <u> </u>)	<input type="checkbox"/> Assignment		
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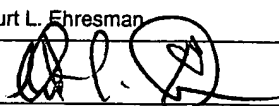
Submitted by: Kurt L. Ehresman
McNees Wallace & Nurick LLC

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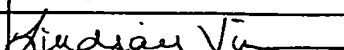
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/079,281
	Filing Date	02/20/2003
	First Named Inventor	WELCH
	Art Unit	2635
	Examiner Name	unknown
Total Number of Pages in This Submission	Attorney Docket Number	20916-0005-1

ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Acknowledgment Postcard, Certificate of Mailing, PTO/SB/08, Foreign References Cited (9)		
<table border="1" style="width: 100%;"> <tr> <td style="width: 20%;">Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	McNees Wallace & Nurick LLC Kurt L. Ehresman
Signature	
Date	August 28, 2003

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Typed or printed name	Lindsay Vican		
Signature		Date	August 28, 2003

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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180**Complete if Known**

Application Number	10/079,281
Filing Date	02/20/2003
First Named Inventor	WELCH
Examiner Name	unknown
Group / Art Unit	2635
Attorney Docket No.	20916-0005-1

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order☒ Deposit Account:Deposit
Account
Number

50-1059

Deposit
Account
Name

McNees Wallace & Nurick LLC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0)

2. EXTRA CLAIM FEES

Total Claims		-20 **	=	0	X		=	0
Independent Claims		-3 **	=	0	X		=	0
Multiple Dependent					X		=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)
					(\$ 180)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Kurt L. Ehresman	Registration No. Attorney/Agent)	50,758	Telephone	717-237-5458
Signature				Date	August 28, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-785-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WELCH)
Application No.: 10/079,281) Group Art Unit: 2635
Filed: February 20, 2003) Examiner: n/a

For: SYSTEM AND METHOD FOR REMOTE MONITORING AND
MAINTENANCE MANAGEMENT OF VERTICAL TRANSPORTATION
EQUIPMENT

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

- ☒ **A. PTO/SB/08**
- ☐ **B. CERTIFICATION**
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- ☒ **C. \$180.00 FEE PAYMENT**
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- ☐ **D. \$180.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**
(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

- ☐ **I.** A copy of each listed U.S. Patent and U.S. Patent application publication
[1.98(a)(2)(i)].
- ☒ **II.** A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:
 - ☐ **a)** the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or


- ☐ (b) U.S. counterpart patents or English language equivalents of the foreign patents or published foreign patent applications (see PTO-1449); and/or
- ☒ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
- ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
- ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].
- ☒ VI. Copies of each listed U.S. Patent and U.S. Patent application publication are not being submitted herewith pursuant to a waiver of 37 CFR 1.98(a)(2)(i) by the USPTO on July 11, 2003 for applications filed after June 30, 2003.

This information is submitted in the above-identified application in compliance with 37 C.F.R. 1.97 because:

- ☐ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☒ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).

- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 6. This information disclosure statement is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:
- ☐ **I, Kurt L. Ehresman, hereby state the following:**
- ☐ **Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.**
- ☐ **No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.**
- ☒ 7. This information disclosure statement is submitted with the fee of \$180 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).
- ☐ 8. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,


Kurt L. Ehresman
Reg. No. 50,758

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/079,281
Filing Date	02/20/2002
First Named Inventor	WELCH
Art Unit	2635
Examiner Name	
Attorney Docket Number	20916-0005-1

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A	US-6,482,654 B1	10-08-2002	Sandelman et al.	
	B	US- 5,588,038	12-24-1998	Snyder	
	C	US- 5,528,489	06-18-1996	Hagenbuch	
	D	US- 5,382,943	01-17-1995	Tanaka	
	E	US- 5,007,506	04-16-1991	Suzuki et al.	
	F	US- 4,588,909	02-04-1986	Whynacht	
	G	US- 2003/0079012 A1	04-24-2003	Marsland	
	H	US- 2003/0067387 A1	04-10-2003	Kwon et al.	
	I	US- 2001/0055965 A1	12-27-2001	Delp et al.	
	J	US- 2001/0034567 A1	10-25-2001	Allen et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ - Number ⁴ - Kind Code ⁵ (if known)				
	k	JP 03159446 A	07-09-1991	Fujitsu Ltd.		
	L	JP 03296898 A	12-27-1991	Hitachi Bld Systems Engineering & Service Co. Ltd.		
	M	JP 05288000 A	11-02-1993	Fujita Corp.		
	N	JP 06156965 A	06-03-1994	Toshiba Erebeeta Technos KK		
	O	JP 2000090374 A	03-31-2000	Mitsubishi Electric Bldg. Techno Service Co. Ltd.		
	P	JP 2001240337 A	09-04-2001	Toshiba Elevator Co. Ltd.		
	Q	JP 2002020049 A	01-23-2002	Mitsubishi Electric Bldg. Techno Service Co. Ltd.		
	R	JP 2003040545 A	02-13-2003	Matsushita Electric Works Ltd.		
	S	WO 02/064479 A1	08-22-2002	Hitachi, Ltd.		

Examiner
SignatureDate
Considered